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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/868,669

Filing Date September 26, 2001

First Named Inventor Bertrand, et. al.

Group Art Unit 3711

Examiner Name Unassigned

Total Number of Pages in This Submission

Attorney Docket Number 05222.00173

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ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Form PTO/SB/08A

87 References

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Firm or Individual name

Kenneth F. Smolik
 Banner & Witcoff, Ltd.

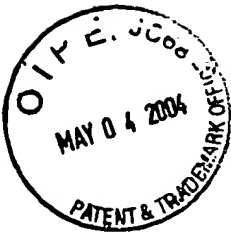
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Date

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Attorney Docket No. 05222.00173
Confirmation No. 2989

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By: _____

Bertrand, et. al., U.S. Patent Application No. 09/868,669 for "A GOAL BASED SYSTEM UTILIZING A SPREADSHEET AND TABLE BASED ARCHITECTURE"

- Transmittal Form (in duplicate)
- Fee Transmittal (in duplicate)
- Information Disclosure Statement (8 pages)
- Form PTO/SB/08A (5 pages)
- 87 References
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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180

Complete if Known

Application Number 09/868,669
Filing Date September 26, 2001
First Named Inventor Bertrand
Examiner Name Bell
Art Unit 2121
Attorney Docket No. 005222.00173

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1001	750	2001	375	Utility filing fee	
		1002	330	2002	165	Design filing fee	
		1003	520	2003	260	Plant filing fee	
		1004	750	2004	375	Reissue filing fee	
		1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
		1202	18	2202	9	Claims in excess of 20
		1201	84	2201	42	Independent claims in excess of 3
		1203	280	2203	140	Multiple dependent claim, if not paid
		1204	84	2204	42	** Reissue independent claims over original patent
		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1051	130	2051	65	Surcharge - late filing fee or oath	
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
		1053	130	1053	130	Non-English specification	
		1812	2,520	1812	2,520	For filing a request for <i>exparte</i> reexamination	
		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		1251	110	2251	55	Extension for reply within first month	
		1252	410	2252	205	Extension for reply within second month	
		1253	930	2253	465	Extension for reply within third month	
		1254	1,450	2254	725	Extension for reply within fourth month	
		1255	1,970	2255	985	Extension for reply within fifth month	
		1401	320	2401	160	Notice of Appeal	
		1402	320	2402	160	Filing a brief in support of an appeal	
		1403	280	2403	140	Request for oral hearing	
		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
		1452	110	2452	55	Petition to revive - unavoidable	
		1453	1,300	2453	650	Petition to revive - unintentional	
		1501	1,300	2501	650	Utility issue fee (or reissue)	
		1502	470	2502	235	Design issue fee	
		1503	630	2503	315	Plant issue fee	
		1460	130	1460	130	Petitions to the Commissioner	
		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
		1806	180	1806	180	Submission of Information Disclosure Stmt	180
		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
		1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
		1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
		1801	750	2801	375	Request for Continued Examination (RCE)	
		1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 180

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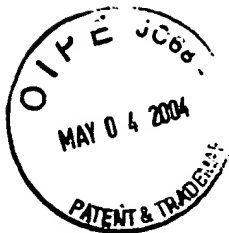
Kenneth F. Smolik

Date

May 4, 2004

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 05222.00173)

In re U.S. Patent Application of Bertrand, et al.)
Application No. 09/868,669)
Filed: September 26, 2001)
For: A GOAL BASED SYSTEM UTILIZING A)
SPREADSHEET AND TABLE BASED)
ARCHITECTURE)

Group Art Unit: 2121
Examiner: Bell
Confirmation No. 2989

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INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
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Dear Sir:

Pursuant to 37 CFR §§1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR §1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

The Commissioner is authorized to charge or credit any fees associated with this paper to Deposit Account No. 19-0733.

Under 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

05/06/2004 AWONDAF1 00000039 190733 09868669

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Under 37 CFR §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

The present patent application is related to the following co-pending patent applications:

09/502,348	09/218,977	09/218,726	09/868,693	09/868,713
09/219,055	09/218,749	09/218,995	09/868,698	09/868,667
09/219,080	09/219,479	09/218,945	09/868,694	10/008,265
09/305,719	09/219,524	09/221,608	09/868,678	10/018,062
09/219,480	09/219,477	09/629,367	09/868,686	09/934,924
09/218,968	09/219,481	09/502,349	09/868,682	09/935,219
09/221,217	09/219,088	09/219,478	09/868,664	09/935,348
09/218,741	09/219,201	09/887,188	09/868,689	
09/502,142	09/219,079	10/007,824	09/868,752	
09/219,086	09/218,906	09/887,824	09/868,708	
09/221,138	09/218,976	09/887,947	09/868,743	

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WO 00/04478, published January 27, 2000

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Dated: May 4, 2004

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Sheet 1 of 5

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First Named Inventor Bertrand
Group Art Unit 2121
Examiner Name Bell
Attorney Docket Number 005222.00173

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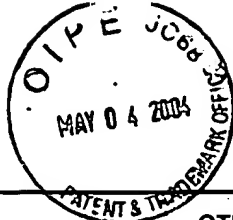
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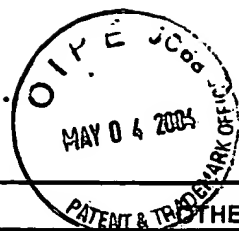
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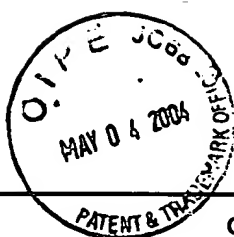


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